Privacy Policy

Bulltick Capital Markets Holdings, LLC, and its affiliated companies (collectively, "Bulltick", the "Firm," "we," "our," or "us") respect your privacy and are committed to protecting the confidentiality and security of your personal information.

This Privacy Policy describes how we collect, use, share, and safeguard nonpublic personal information about current and former customers.

This notice applies to customers and consumers of all Bulltick entities including the following financial operating entities:

- **Bulltick, LLC**, a broker-dealer registered with the Financial Industry Regulatory Authority and the U.S. Securities and Exchange Commission
- **Bulltick Wealth Management, LLC,** an investment adviser registered with the U.S. Securities and Exchange Commission
- **Bulltick4U Advisor, LLC,** a digital investment adviser registered with the U.S. Securities and Exchange Commission
- **Bulltick Insurance Agency, LLC,** a Florida state-licensed insurance agency
- **Bulltick Capital Markets Holdings, LLC,** the parent company of the above entities

Information We Collect

The types of personal information we collect and share depend on interactions, products or services you have with us. To provide our services, including servicing your account(s), we collect public and nonpublic personal information from you and third parties that service your account(s), including:

- Information provided by you in connection with account opening, transactions, or services, including, but not limited to, name, address, phone number, email, Social Security number or taxpayer identification number, date of birth, employment information, income, and net worth. For digital advisory services, this may also include information supplied through an online application or mobile app, such as bank account information and other information needed to establish or service an advisory account.
- Information related to your transactions with our affiliates or others including but not limited to account balances, securities transactions, investment holdings, payment and transfer activity and, in relation to our Insurance Agency affiliate, health and medical information collected, with your permission, when you apply for insurance products.
- Information from service providers, custodians, clearing firms, insurance carriers, or other third parties, such as credit information, identity verification services, public records, and financial institutions.

How We Use Your Information

We use personal information for legitimate business purposes, including establishing and maintaining your accounts, processing transactions, providing brokerage, advisory, digital advisory, or insurance services, communicating with you about products and services, complying with legal and regulatory obligations, preventing fraud and maintaining security, and improving our services and technology platforms.

How We Share Information

We may share nonpublic personal information about you as permitted by law among our affiliated companies listed above for our everyday business purposes and to provide financial products and services to you. We may share information with other service providers that perform services on our behalf, including but not limited to custodians and clearing firms, technology providers, account administrators, and compliance and regulatory vendors. For insurance products, we may share information with insurance carriers, underwriters, and policy administrators, to the extent such information is relevant to insurance underwriting, policy administration, or claim processing. We may disclose the categories of nonpublic personal information described under "Information We Collect" as necessary to provide our services and as permitted by law.

We will **never** share your health information with third parties for marketing purposes.

We do not disclose nonpublic personal Information of current or former customers to nonaffiliated third parties except as required or permitted by law, including in connection with providing financial products and services, maintaining accounts, and processing and clearing transactions in the ordinary course of business.

We may disclose information as required by law, regulation, or legal process, including but not limited to regulatory authorities and law enforcement.

Information Confidentiality and Security

We maintain physical, electronic, and procedural safeguards designed to protect your personal information. These safeguards include secure systems and networks, access controls, encryption and cybersecurity protections, employee confidentiality policies and vendor oversight procedures.

We restrict access to personal information to employees and service providers who require it to perform their duties.

If you close your account or otherwise end your relationship with us, we will continue to protect your personal information in accordance with this policy and applicable law.

Digital and Website Information

When you visit our websites or access our digital platforms, we may automatically collect certain technical information about your device and interaction with our services. This may include your IP address, browser type, device identifiers, operating system, pages visited, referring URLs, date and time of access, and other usage data.

We may also use cookies to collect information about how visitors interact with our websites and digital services. We may also use analytics service providers to understand website and mobile application usage.

This information is used to operate, maintain, and improve our websites and digital platforms, enhance user experience, monitor system performance, support cybersecurity and fraud prevention efforts, and comply with applicable legal and regulatory obligations. Links to third-party websites or services may be subject to those third parties' separate privacy policies.

Your Privacy Choices

Federal law requires us to give you this notice to tell you about your choices to limit information sharing between our affiliates for marketing purposes. You may limit our affiliates from marketing products or services to you based on personal information shared with them, including information concerning your accounts, transactions, and financial circumstances.

To exercise your privacy choices, please complete the **Privacy Opt-Out Form located at the end of this Privacy Policy** and return it by email to **compliance@bulltick.com** or by mail to **Bulltick, Attention: Compliance Department, 333 SE 2nd Avenue, Suite 3950, Miami, FL 33131**. You may also contact your Investment Professional for assistance.

Your opt out election will remain effective unless you subsequently revoke it.

Changes to This Policy

We may update this Privacy Policy from time to time. When we do, we will provide notice where required by law.

Notice to EU Residents

Your information is used and stored by Bulltick, its affiliates, and certain service providers in a manner consistent with U.S. laws and regulations. If you do not wish us to share your information as described, you may contact your investment professional to discuss your options, including discontinuing your relationship with us.

BULLTICK – PRIVACY OPT-OUT FORM

Check all that apply:

- ☐ Do not allow Bulltick affiliates to use my personal information received from other Bulltick affiliates to market their products or services to me.
- ☐ Do not share information about my creditworthiness with Bulltick affiliates for their everyday business purposes.

Name: _____

Account Number (s)_____

Signature: _____ **Date:** _____

Return by email or mail to:

Email: compliance@bulltick.com

Mail: Bulltick – Compliance Department
333 SE 2nd Avenue, Suite 3950
Miami, FL 33131

These elections do not restrict information sharing required or permitted by law.