



## Privacy Policy

Bulltick Capital Markets Holdings, LLC and its affiliated companies (collectively, “Bulltick”, the “Firm,” “we,” “our,” or “us”) respect your privacy and are committed to protecting the confidentiality and security of your personal information.

This Privacy Policy describes how we collect, use, share, and safeguard nonpublic personal information about current and former customers.

This notice applies to customers and consumers of all Bulltick entities including the following financial operating entities:

- **Bulltick, LLC**, a broker-dealer registered with the Financial Industry Regulatory Authority and the U.S. Securities and Exchange Commission
- **Bulltick Wealth Management, LLC**, an investment adviser registered with the U.S. Securities and Exchange Commission
- **Bulltick4U Advisor, LLC**, a digital investment adviser operating pursuant to the investment advisory registration of Bulltick Wealth Management, LLC.
- **Bulltick Insurance Agency, LLC** a Florida state-licensed insurance agency.
- **Bulltick Capital Markets Holdings, LLC**, the parent company of the above entities.

## Information We Collect

The types of personal information we collect and share depends on interactions, products or services you have with us. To provide our services, including servicing your account(s), we collect public and nonpublic personal information from you and third parties that service your account(s), including:

- Information provided by you in connection with account opening, transactions, or services, including, but not limited to, name, address, phone number, email, Social Security number or taxpayer identification number, date of birth, employment information, income, and net worth.
- Information related to your transactions with our affiliates or others including but not limited to account balances, securities transactions, investment holdings, payment and transfer activity and, in relation to our Insurance Agency affiliate, health and medical information collected, with your permission, when you apply for insurance products.
- Information from service providers, custodians, clearing firms, insurance carriers, or other third parties, such as credit information, identity verification services, public records, and financial institutions.



## **How We Use Your Information**

We use personal information for legitimate business purposes, including establishing and maintaining your accounts, processing transactions, providing brokerage, advisory, digital advisory, or insurance services, communicating with you about products and services, complying with legal and regulatory obligations, preventing fraud and maintaining security, and improving our services and technology platforms.

## **How We Share Information**

We may share nonpublic personal information about you as permitted by law among our affiliated companies listed above for our everyday business purposes and to provide financial products and services to you. We may share information with other service providers that perform services on our behalf, including but not limited to custodians and clearing firms, technology providers account administrators, and compliance and regulatory vendors. For insurance products, we may share information with insurance carriers, underwriters, and policy administrators, to the extent such information is relevant to insurance underwriting, policy administration, or claim processing.

We will **never** share your health information with third parties for marketing purposes.

We do not disclose nonpublic personal Information of current or former customers to any third parties except as required or permitted by law, and in order to facilitate the maintenance of accounts, processing, and clearing transactions in the ordinary course of business.

We may disclose information as required by law, regulation, or legal process, including but not limited to regulatory authorities and law enforcement.

## **Information Confidentiality and Security**

We maintain physical, electronic, and procedural safeguards designed to protect your personal information. These safeguards include secure systems and networks, access controls, encryption and cybersecurity protections, employee confidentiality policies and vendor oversight procedures.

We restrict access to personal information to employees and service providers who require it to perform their duties.

If you close your account or otherwise end your relationship with us, we will continue to protect your personal information in accordance with this policy and applicable law.



## **Digital and Website Information**

When you visit our websites or access our digital platforms, we may automatically collect certain technical information about your device and interaction with our services. This may include your IP address, browser type, device identifiers, operating system, pages visited, referring URLs, date and time of access, and other usage data.

We may also use cookies to collect information about how visitors interact with our websites and digital services.

This information is used to operate, maintain, and improve our websites and digital platforms, enhance user experience, monitor system performance, support cybersecurity and fraud prevention efforts, and comply with applicable legal and regulatory obligations.

## **Your Privacy Choices**

Federal law requires us to give you this notice to tell you about your choices to limit information sharing between our affiliates for marketing purposes. You may limit our affiliates from marketing products or services to you based on your personal information that we collect and share with them. To prevent information sharing with our affiliates for marketing purposes, please contact your Investment Professional or Bulltick at, 333 SE 2<sup>nd</sup> Avenue, Suite 3950, Miami FL 33131, Attention: Compliance Department or at [compliance@bulltick.com](mailto:compliance@bulltick.com).

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. No further action is required if you do not wish to limit marketing offers from our affiliates

## **Changes to This Policy**

We may update this Privacy Policy from time to time. When we do, we will provide notice where required by law.

## **Notice to EU Residents**

Your information is used and stored by Bulltick, its affiliates, and certain service providers in a manner consistent with U.S. laws and regulations. If you do not wish us to share your information as described, you may contact your investment professional to discuss your options, including discontinuing your relationship with us.