

Miami

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BUSINESS CONTINUITY PLAN DISCLOSURE STATEMENT

Bulltick (Bulltick LLC, and Bulltick Wealth Management, LLC) has prepared a Business Continuity Plan (“BCP”) to properly document the policies, processes and measures that have been established to ensure continuity of the Bulltick mission critical business systems, services and business functions. Bulltick’s BCP addresses: data backup and recovery; all mission critical systems; alternative communications with customers, employees, and regulators as well as alternate physical work location for our employees.

The BCP was prepared to face several levels of disaster. In the event that access to any of Bulltick’s office location is not possible, Bulltick has local alternate work locations where business activities can be resumed. In cases where a major disaster affects the entire city or region, a designated Business Continuity Team would travel to remote or alternative office locations and resume activities from there. Bulltick requires that the BCP be reviewed and updated annually. In addition, Bulltick performs yearly tests of the BCP plan and facilities.

Bulltick’s BCP is designed to address the continuous operation of the company in the event of an emergency situation or disaster. However, all risks of business interruption cannot be eliminated and Bulltick cannot guarantee that systems will always be available or recoverable following a major disaster. Furthermore, Bulltick has no control over and must rely upon the Disaster Recovery plans of its vendors.

The information contained in this disclosure is provided by Bulltick for informational purposes only and nothing contained herein shall be construed to amend, supplement or otherwise modify any of the terms and conditions set forth in any customer agreement between any party and Bulltick.